

# SPENCER FREI

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## EMPLOYMENT

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- Senior Research Scientist, Google DeepMind *2025–present*
- Assistant Professor, Department of Statistics, University of California, Davis *2023–2024*  
— Member of the Graduate Group in Computer Science  
— Long-term participant at the Simons Institute Fall 2024 program on Modern Paradigms in Generalization
- Postdoctoral Fellow, Simons Institute for the Theory of Computing, UC Berkeley *2021–2023*  
— Mentors: Peter Bartlett and Bin Yu.  
— Visitor at École polytechnique fédérale de Lausanne (EPFL), Sep. & Oct. 2022, hosted by Emmanuel Abbé.

## EDUCATION

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- Ph.D, Statistics, UCLA *2015–2021*  
— Co-advisors: Quanquan Gu and Ying Nian Wu.
- MSc., Mathematics, University of British Columbia, Vancouver *2013–2015*
- BSc., Mathematics, McGill University, Montréal. *2009–2013*  
— First class honours.

## PUBLICATIONS AND PREPRINTS

(see also [Google Scholar](#))

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1. Alexander Tsigler, Luiz F. O. Chamon, **S. Frei**, Peter L. Bartlett. Benign overfitting and the geometry of the ridge regression solution in binary classification. Preprint, arXiv:2503.07966.
2. Usman Anwar, Johannes von Oswald, Louis Kirsch, David Krueger, **S. Frei**. Understanding in-context learning of linear models in transformers through an adversarial lens. *TMLR 2025*.
3. Shuning Shang, Roey Magan, Zhiwei Xu, **S. Frei**, Gal Vardi, Wei Hu. Benign overfitting in single-head attention. *NeurIPS 2025*.
4. **S. Frei** and Gal Vardi. Trained transformer classifiers generalize and exhibit benign overfitting in-context. *ICLR 2025*.
5. Nikhil Ghosh, **S. Frei**, Wooseok Ha, Bin Yu. The effect of SGD batch size on autoencoder learning: Sparsity, sharpness and feature learning. *JMLR 2025*.
6. Neil Mallinar\*, Austin Zane\*, **S. Frei**, Bin Yu. Minimum-norm interpolation under covariate shift. *ICML 2024*.
7. Ruiqi Zhang, **S. Frei**, Peter L. Bartlett. Trained transformers learn linear models in-context. *JMLR 2024*.
8. Zhiwei Xu, Yutong Wang, **S. Frei**, Gal Vardi, Wei Hu. Benign overfitting and grokking in ReLU networks for XOR cluster data. *ICLR 2024*.
9. **S. Frei\***, Gal Vardi\*, Peter L. Bartlett, Nati Srebro. The double-edged sword of implicit bias: Generalization vs. robustness in ReLU networks. *NeurIPS 2023*.
10. **S. Frei\***, Gal Vardi\*, Peter L. Bartlett, Nathan Srebro. Benign overfitting in linear classifiers and leaky ReLU networks from KKT conditions for margin maximization. *COLT 2023*.
11. **S. Frei\***, Gal Vardi\*, Peter L. Bartlett, Nati Srebro, Wei Hu. Implicit bias in leaky ReLU networks trained on high-dimensional data. *ICLR 2023 (Spotlight)*.

12. **S. Frei**, Niladri Chatterji, Peter L. Bartlett. Random feature amplification: Feature learning and generalization in neural networks. *JMLR* 2023.
13. **S. Frei**, Niladri Chatterji, Peter L. Bartlett. Benign overfitting without linearity: Neural network classifiers trained by gradient descent for noisy linear data. *COLT* 2022.
14. **S. Frei\***, Difan Zou\*, Zixiang Chen\*, Quanquan Gu. Self-training converts weak learners to strong learners in mixture models. *AISTATS* 2022.
15. **S. Frei** and Quanquan Gu. Proxy convexity: A unified framework for the analysis of neural networks trained by gradient descent. *NeurIPS* 2021.
16. Difan Zou\*, **S. Frei\***, Quanquan Gu. Provable robustness of adversarial training for learning halfspaces with noise. *ICML* 2021.
17. **S. Frei**, Yuan Cao, Quanquan Gu. Provable generalization of SGD-trained neural networks of any width in the presence of adversarial label noise. *ICML* 2021.
18. **S. Frei**, Yuan Cao, Quanquan Gu. Agnostic learning of halfspaces with gradient descent via soft margins. *ICML 2021 (Long Talk)*.
19. Ariana E. Anderson, Mirella Diaz-Santos, **S. Frei et al.** Hemodynamic latency is associated with reduced intelligence across the lifespan: an fMRI DCM study of aging, cerebrovascular integrity, and cognitive ability. *Brain Structure and Function*, 2020.
20. **S. Frei**, Yuan Cao, Quanquan Gu. Algorithm-dependent generalization bounds for overparameterized deep residual networks. *NeurIPS* 2019.
21. **S. Frei** and Edwin Perkins. A lower bound for  $p_c$  in range- $R$  bond percolation in two and three dimensions. *Electronic Journal of Probability* 21(56), 2016.
22. **S. Frei**, Kathryn Lockwood, Greg Stewart, Justin Boyer, Burt S. Tilley, On thermal resistance in concentric residential geothermal heat exchangers. *Journal of Engineering Mathematics* 86(1), 2014.

\* indicates equal contribution.

## PRIOR INDUSTRY RESEARCH EXPERIENCE

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| Applied Scientist Intern, Amazon Alexa AI, Cambridge, MA   | <i>Summer 2020</i> |
| — Worked on natural language understanding using Transformer-based multilingual language models.   |                    |
| Student Researcher, Chatterbaby/UCLA School of Medicine, Los Angeles, CA   | <i>2018–2020</i>   |
| — Developed deep learning models for audio analysis of infant cries that were deployed in Chatterbaby app (100k+ downloads on Android). Employed 20 hr/week when not teaching. |                    |
| Biostatistical Consultant, Ritter Pharmaceuticals, Los Angeles, CA   | <i>2017–2019</i>   |
| — Consulted on the analysis of clinical trial data using linear regression and mixed effects models.   |                    |
| Student Researcher, Blackthorn Therapeutics/UCLA School of Medicine, Los Angeles, CA   | <i>2016–2018</i>   |
| — Analyzed MRI and neuropsychiatric data using generalized linear models for a biotech company-sponsored project. Employed 20 hr/week when not teaching.                       |                    |

## HONORS

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- Selected for NeurIPS 2023 Tutorial, “Reconsidering Overfitting in the Age of Overparameterized Models”
- Rising Star in Machine Learning, University of Maryland, 2022.
- Best Reviewer Award: ICML 2020, ICML 2021, NeurIPS 2021, ICLR 2022.
- Dissertation Year Fellowship, UCLA, 2020–2021.

- Most Promising Computational Statistician, UCLA, 2016.
- Research Fellowship, Montréal Institut des sciences mathématiques, 2012.

## TALKS

∨ indicates virtual presentation

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- “Generalization and Benign Overfitting In-Context in Trained Transformer Classifiers”  
— Columbia University, Statistics Seminar *April 2025*
  - “Reconsidering Overfitting in the Age of Overparameterized Models” (three hour tutorial)  
— NeurIPS 2023 Tutorial, presented with Vidya Muthukumar and Fanny Yang *December 2023*
  - “Learning Linear Models In-Context with Transformers”  
— University of Southern California, Department of Data Sciences and Operations Seminar *October 2024*  
— UCLA, Department of Statistics and Data Science Seminar *May 2024*  
— École normale supérieure, INRIA SIERRA Seminar *April 2024*  
— Sorbonne Université and Paris Diderot University, Statistics Seminar *April 2024*  
— Apple Machine Learning Research, Cupertino *November 2023*  
— University of Basel, Department of Mathematics and Computer Science Seminar *November 2023*  
— University of Oxford, Computational Statistics and Machine Learning Seminar *October 2023*  
— University of Cambridge, Machine Learning Group *October 2023*  
— Google DeepMind, London *October 2023*  
— Imperial College London, Imperial + AI Seminar *October 2023*  
— The Alan Turing Institute, London, Rough Paths Interest Group<sup>∨</sup> *October 2023*  
— Stanford University, Tengyu Ma group meeting *September 2023*  
— Google Research, In-Context Learning Reading Group<sup>∨</sup> *August 2023*
  - “Benign Overfitting from KKT Conditions for Margin Maximization”  
— Conference on Learning Theory, Bangalore *July 2023*
  - “Implicit Regularization and Benign Overfitting for Neural Networks in High Dimensions”  
— Youth in High Dimensions Workshop, International Center for Theoretical Physics, Trieste *May 2023*  
— UC Berkeley, Department of Biostatistics Seminar *April 2023*  
— University of British Columbia, Mathematics of Information, Learning, and Data Seminar *January 2023*  
— University of Alberta, Dept. of Mathematical and Statistical Sciences, Statistics Seminar *October 2022*  
— EPFL, Fundamentals of Learning and Artificial Intelligence Seminar *September 2022*
  - “Statistical and Computational Phenomena in Deep Learning”  
— University of Wisconsin–Madison, Department of Statistics Seminar<sup>∨</sup> *February 2023*  
— University of British Columbia, Department of Statistics Seminar *February 2023*  
— University of Illinois, Urbana-Champaign, Department of Statistics Seminar *February 2023*  
— University of Michigan, Department of Statistics Seminar *January 2023*  
— University of British Columbia, Department of Mathematics Colloquium *January 2023*  
— Northwestern University, Department of Statistics and Data Science Seminar<sup>∨</sup> *January 2023*  
— University of California, Davis, Department of Statistics Seminar *January 2023*  
— University of California, Davis, Department of Mathematics Colloquium *January 2023*  
— Boston University, Department of Mathematics and Statistics Seminar *January 2023*  
— University of California, San Diego, Department of Mathematics Colloquium *December 2022*  
— University of California, Irvine, Department of Statistics Seminar *December 2022*
  - “Statistical Learning Theory, Optimization, and Neural Networks” (two hour tutorial)  
— Simons Institute, Deep Learning Theory Workshop & Summer School *August 2022*
  - “Benign Overfitting without Linearity”  
— University of Southern California, Symposium on Frontiers of Machine Learning and AI *November 2022*  
— Joint Statistical Meetings, Washington, D.C. *August 2022*

- Conference on Learning Theory, London July 2022
- ETH Zürich, Data, Algorithms, Combinatorics, & Optimization Seminar June 2022
- Harvard University, Probabilitas Seminar<sup>∇</sup> May 2022
- University of Toronto, Statistics Research Day<sup>∇</sup> May 2022
- University of British Columbia, Christos Thrampoulidis group meeting<sup>∇</sup> April 2022
- Theory of Overparameterized Machine Learning Workshop<sup>∇</sup> April 2022
- Google Research, Algorithms Seminar<sup>∇</sup> March 2022
- Oxford University, Yee Whye Teh group meeting<sup>∇</sup> March 2022
- NSF/Simons Mathematics of Deep Learning Seminar<sup>∇</sup> March 2022
- “Random Feature Amplification: Feature Learning and Generalization in Neural Networks”
- EPFL, Nicolas Flammarion group meeting October 2022
- Microsoft Research, Machine Learning Foundations Seminar<sup>∇</sup> April 2022
- Columbia University, Daniel Hsu group meeting<sup>∇</sup> April 2022
- Theory of Overparameterized Machine Learning Workshop<sup>∇</sup> April 2022
- “Self-Training Converts Weak Learners to Strong Learners in Mixture Models”
- Simons Institute for the Theory of Computing, Deep Learning Theory Symposium December 2021
- “Proxy Convexity: A Unified Optimization Framework For Neural Networks Trained by Gradient Descent”
- Simons Institute for the Theory of Computing, Meet the Fellows Welcome Event September 2021
- “Generalization of SGD-Trained Neural Networks in the Presence of Adversarial Label Noise”
- ETH Zürich, Young Data Science Researchers Seminar<sup>∇</sup> April 2021
- Johns Hopkins University, Machine Learning Seminar<sup>∇</sup> April 2021
- Max-Planck-Institute MiS, Machine Learning Seminar<sup>∇</sup> March 2021
- NSF/Simons Mathematics of Deep Learning Seminar<sup>∇</sup> February 2021

## PROFESSIONAL SERVICE

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- Workshop co-organizer: Deep Learning Theory Workshop & Summer School, Simons Institute for the Theory of Computing, Berkeley, 2022.
- Area Chair/Senior PC for conferences: NeurIPS 2023, ALT 2024, NeurIPS 2024, ALT 2025, ICML 2025, ICLR 2026.
- Area Chair for workshops: Theoretical Foundations of Foundation Models @ ICML 2024.
- Reviewer for journals: *Annals of Statistics*, *Journal of Machine Learning Research*, *Bernoulli*, *SIAM Journal on Mathematics of Data Science*, *Neural Computation*, *Mathematics of Operations Research*, *Transactions on Machine Learning Research*.
- Reviewer for conferences: ICML 2020, NeurIPS 2020, AISTATS 2021, ICML 2021, NeurIPS 2021, ICLR 2022, AISTATS 2022, ICML 2022, ICLR 2023, COLT 2023, ICLR 2025.
- Reviewer for workshops: Theory of Overparameterized Machine Learning (TOPML) 2021, ICML Workshop on Overparameterization: Pitfalls & Opportunities (ICMLOPPO) 2021, TOPML 2022, NeurIPS Workshop on Mathematics of Modern Machine Learning (M3L) 2023, NeurIPS Workshop on Robustness of Few-Shot Learning in Foundation Models (R0-FoMo) 2023.
- Reviewer, ENVISION Research Competition for Women in STEM, 2022.
- Volunteer for Queer in AI.

## CONFERENCE, WORKSHOP, AND PROGRAM PARTICIPATION

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- MFO Workshop on Modern and Emerging Phenomena in Machine Learning. Oberwolfach, 2026.
- Modern Paradigms in Generalization Program (Long-term Participant), Simons Institute, Berkeley, 2024.
- International Conference on Learning Representations. Vienna, 2024.
- Neural Information Processing Systems. New Orleans, 2023.
- Conference on Learning Theory. Bangalore, 2023.

- Youth in High Dimensions. Trieste, 2023.
- Joint Statistical Meetings. Washington, DC, 2022.
- Deep Learning Theory Summer Cluster. Simons Institute for the Theory of Computing, Berkeley, 2022.
- Conference on Learning Theory. London, 2022.
- Deep Learning Theory Symposium. Simons Institute for the Theory of Computing, Berkeley, 2021.
- Mathematical/Scientific Foundations of Deep Learning Annual Meeting. Simons Foundation, New York, 2021.
- ICML Workshop on Overparameterization: Pitfalls and Opportunities. Online, 2021.
- Theory of Overparameterized Machine Learning (TOPML) Workshop. Rice University (online), 2021; 2022.
- Theory of Deep Learning Special Quarter. TTIC/Northwestern Institute for Data, Econometrics, Algorithms, and Learning (online) 2020.
- Neural Information Processing Systems. Vancouver, BC, 2019.
- Emerging Challenges in Deep Learning Workshop. Simons Institute, Berkeley, 2019.
- Summer School in Probability. Pacific Institute for the Mathematical Sciences, Vancouver, BC, 2014.

## TEACHING EXPERIENCE

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- UC Davis, Department of Statistics
  - Instructor, Stats 35B: Statistical Data Science II, Winter 2024.
  - Instructor, Stats 250: Theoretical Foundations of Modern AI, Winter 2024.
  - Organizer, Stats 290: Seminar in Statistics. Winter 2024.
- UCLA, Department of Statistics
  - TA, Stats 100C: Linear Models, Spring 2020.
  - TA, Stats 102C: Monte Carlo Methods, Fall 2019.
  - TA, Stats 100B: Mathematical Statistics, Winter 2016.
  - TA, Stats 100A: Probability Theory, Fall 2016.
  - TA, Stats 10: Intro to Statistics, Summer 2016.

## PROGRAMMING LANGUAGES AND SKILLS

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Python, JAX with TPUs, PyTorch with GPUs, R, Matlab.